**TEMPLATE**

**IDENTIFICATION OF POLITICAL EXPOSED PERSONS (PEP)**

Are you or have you since been:

**Politically exposed persons** - means domestic or foreign natural persons who are or have been entrusted with prominent public functions and immediate family members, or persons known to be close associates, of such persons.

Yes No

**Immediate family members** - means parents, adoptive parents, spouse, children, adopted children, brothers and sisters.

Yes No

**Close associates** – means those business partners or associates that share ownership or beneficial ownership of legal entities with the politically exposed person, including board members, so that they exercise effective control over the company together.

Yes No

**If you have answered YES to any of the above questions, please select the appropriate option in the table below:**

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| **Foreign State** | |  |
| a | Head of State or of government |  |
| b | Senior politician  (a member of parliament, a minister or a deputy minister or an equivalent position) |  |
| c | Senior government, judicial or military officials  (a member of the courts or of other high‐level judicial bodies whose decisions are not subject to further appeal, except in exceptional circumstances, a member of courts of auditors, audit committees or of the boards of central banks, an ambassador, *a charge d’affaires* and any other high ranking officer in the armed forces) |  |
| d | Senior executives of state owned corporations  (a member of the administration, management or boards of State‐owned corporations) |  |
| e | Politically important persons of international organizations  (persons who are or have been entrusted with a prominent function by an international organisation, and refers to members of senior management or individuals who have been entrusted with equivalent functions, i.e. directors, deputy directors and members of the board or equivalent functions in Kosovo)  International Organisations include but are not limited to:   * United Nations and affiliated international organisations * Organization of American States * EU affiliated organisations and missions * International Labour Organization * International Monetary Fund * World Bank |  |

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| **State of the Republic of Kosovo** | |  |
| 1 | President of the Republic of Kosovo, members of Presidential cabinet, Secretary, Directors of Professional Departments in the Office of the President of the Republic of Kosova; |  |
| 2 | Members of Parliament and all persons selected or nominated by Assembly, Presidency of the Assembly, Chairperson of the Assembly as well as Cabinet of the Chairperson of the Republic of Kosovo; |  |
| 3 | Prime Minister, Deputy Prime Minister, Ministers, Deputy Ministers, Political Advisors and Heads of Cabinets as well as all persons nominated by them; |  |
| 4 | Permanent Secretaries of the Government, Managers of Agencies, which are established by law or any other act, Director, Deputy Director as well as Regional Directors of Kosovo Tax Administration, General Director and Directors of Customs Departments; |  |
| 5 | Auditors of General Audit Office as well as all internal institutional auditors; |  |
| 6 | Members of Boards of Public Enterprises, members of Regulatory Boards, members of Commissions or other Agencies established by Law or any other act; |  |
| 7 | Members of the Board, Director and Deputy Director of Central Banking Authority; |  |
| 8 | Municipal Mayors and Deputy Mayors, Presidents, Deputy Presidents of Municipal Assemblies as ëell as all Directors of Municipal Directorates; |  |
| 9 | Members of Kosovo Judicial Council and of Kosovo Prosecutorial Council, Director of Judicial Council Secretariat, Director of Prosecutorial Council Secretariat, Judicial Auditor, Disciplinary Prosecutor; |  |
| 10 | Judges and Prosecutors, Judges of Constitutional Court and Secretary of the Constitutional Court; |  |
| 11 | Directors of all departments, Heads of Public Finances and Procurement throughout all public institutions; |  |
| 12 | Ambassadors, Consuls, Deputy Consuls, Secretaries of Embassies or Consulates of the Republic of Kosovo; |  |
| 13 | Rector, Vice-Rectors of Public University, Deans and Vice-Deans as well as Secretary of Public University and of Academic Units; |  |
| 14 | General Director, Deputy Directors and Regional Directors of Kosovo Police, Head of Kosovo Police Inspectorate; |  |
| 15 | Commander, Deputy Commander of the Kosovo Security Force; |  |
| 16 | Director, Deputy Director and General Inspector of Kosovo Intelligence Agency; |  |
| 17 | Ombudsperson as well as his/her Deputies; |  |
| 18 | Chief Inspectors of central and local level. |  |
| 19 | Director of the Financial Intelligence Unit of Kosovo |  |
| 20 | Important political party officials (The president and the members of the highest executive body of the political party as defined with the legislation in force in Kosovo regarding registration and operation of the political parties) |  |

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|  | An immediate family member of any person as defined in the lists above?   * Parent/adoptive parent * Spouse * Child/adoptive child * Brother/sister |  |
|  | A close associate of any person as defined in the lists above?  *Please specify type of association in terms of the above definition:* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |

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| If you have answered YES to any of the above questions, you may be considered as a “politically exposed person” according to the Law on the Prevention of Money Laundering and Terrorist Financing and other relevant sub-legal act the bank/financial institution may therefore require additional information in order to fulfill its obligations with legislation in force.  Name of applicant: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Date: \_\_\_\_\_\_\_\_\_\_\_\_ |